

CORE Review Completed A formal action plan **Letter Communicating** must be submitted for Additional documentation **Findings Issued** issues rated High and Review of Issue Closure may be requested based upon (Issues/Recommendations) Medium. quality control review of Package and Validation information provided. **Evidence Submitted** Issue Within 30 days of CORE Within 270 days **Issue Remediation Action** Review letter of CORE Review Plan Delivered Remediation Submission of Issue letter Validation Results to **Issue Remediation Action** Completion of an issue closure **CORE Issue Management** Action Plan is reviewed within package that includes Plan Lifecycle 10 days of receipt. Revision of documentation to evidence the Reviewed / Accepted action plan may be required independent validation work prior to formal acceptance of performed. Issue closure an action plan during the package to be provided with review period. CORE Review letter. Within 270 days of CORE Review Includes the Issue letter Issue Remediation and **Tracking and Monitoring** Management team obtaining Validation Performed status updates from Issue Validation will need to be of Issue Remediation and counterparty to assess ability performed and attested to by an

Validation Timelines

to meet issue remediation

and validation timelines

provided.

CORE **Actions**

oversight function that is

functions.

independent of 1st business

Counterparty Actions